

# UNITED STATE EPARTMENT OF COMMERCE United States Patent and Trademark Office

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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 09/021,410 02/10/98 RIGLER R P58841US1 **EXAMINER** HM22/0913 JACOBSON PRICE HOLMAN AND STERN CHIN.C ART UNIT PAPER NUMBER THE JENIFER BUILDING 400 SEVENTH STREET N W WASHINGTON DC 20004 1641 **DATE MAILED:** 09/13/01

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

Application No.

### Office Action Summary

09/021,410 Examiner

Applicant(s)

Chris Chin

Art Unit 1641

Rigler et al



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). **Status** 1) X Responsive to communication(s) filed on *Jun 22, 2001* 2b) This action is non-final. 2a) This action is FINAL. 3) Usince this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213. **Disposition of Claims** 4) X Claim(s) 119-138 is/are pending in the application. 4a) Of the above, claim(s) \_\_\_\_\_\_\_ is/are withdrawn from consideration. 5) X Claim(s) 119-138 6) Claim(s) \_\_\_\_\_\_ is/are rejected. 7) Claim(s) \_\_\_\_\_\_ is/are objected to. 8) Claims \_\_\_\_\_ are subject to restriction and/or election requirement. **Application Papers** 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on \_\_\_\_\_\_ is/are objected to by the Examiner. \_\_\_\_\_ is: a) $\square$  approved b) $\square$  disapproved. 11) The proposed drawing correction filed on 12)  $\Box$  The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). a)  $\square$  All b)  $\square$  Some\* c)  $\square$  None of: 1. Certified copies of the priority documents have been received. 2. U Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \*See the attached detailed Office action for a list of the certified copies not received. 14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) 15) Notice of References Cited (PTO-892) 18) Interview Summary (PTO-413) Paper No(s). 16) Notice of Draftsperson's Patent Drawing Review (PTO-948) 19) Notice of Informal Patent Application (PTO-152) 17) Information Disclosure Statement(s) (PTO-1449) Paper No(s).

20) Other: Sequence Compliance forms

Application/Control Number: 09/021,410

Art Unit:

#### **DETAILED ACTION**

### Specification

1. The following guidelines illustrate the preferred layout and content for patent applications. These guidelines are suggested for the applicant's use.

### Arrangement of the Specification

The following order or arrangement is preferred in framing the specification and, except for the reference to "Microfiche Appendix" and the drawings, each of the lettered items should appear in upper case, without underlining or bold type, as section headings. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) Title of the Invention.
- (b) Cross-References to Related Applications.
- © Statement Regarding Federally Sponsored Research or Development.
- (d) Reference to a "Microfiche Appendix" (see 37 CFR 1.96).
- (e) Background of the Invention.
  - 1. Field of the Invention.
  - 2. Description of the Related Art including information disclosed under 37 CFR 1.97 and 1.98.
- (f) Brief Summary of the Invention.
- (g) Brief Description of the Several Views of the Drawing(s).
- (h) Detailed Description of the Invention.
- (I) Claim or Claims (commencing on a separate sheet).
- (j) Abstract of the Disclosure (commencing on a separate sheet).
- (k) Drawings.
- (1) Sequence Listing (see 37 CFR 1.821-1.825).

The specification is missing a section containing a brief description of each of the drawings.

Applicants have been notified of this problem in previous office actions and yet have failed to address this problem.

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Art Unit:

A nucleotide sequence is recited in page 41 of the specification which fails to comply

with the sequence rules - see attached forms concerning this issue. Any other nucleotide

sequences recited in the specification have to also comply with the sequence rules.

Allowable Subject Matter

2. Claims 119-138 are allowed.

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Chris Chin whose telephone number is (703) 308-3991. The examiner can

normally be reached on Monday-Thursday from 9:30 am to 7:00 pm. The examiner can also be

reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Long Le, can be reached on (703) 305-3399. The fax phone number for the

organization where this application or proceeding is assigned is (703) 308-4242.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is (703) 308-0196.

cchin/cc

September 10, 2001

CHRISTOPHER L. CHIN PRIMARY EXAMINER

GROUP 1800 /64/

Christyl L. Chi

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## Please find below a communication from the EXAMINER in charge of this application Commissioner of Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

Any inquiry concerning this communication should be directed to at telephone number (703)30

**•	Desta state Community and TONITONE CONTAINING
NUCLE	SE TO COMPLY WITH RESULTED PROFOR PATENT APPLICATIONS CONTAINING COTIDE SEQUENCE AND AMINO ACID SEQUENCE DISCLOSURES
ant co	acleotide and/or amino acid sequence disclosure contained in this application does omply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - for the following reason(s):
	1. This application clearly fails to comply with the requirements of 37 CFR 1.821
- 1.8	1. This application creatly than 1. This applicant's attention is directed to these regulations, published at 1114 OG 29, 25. Applicant's attention is directed to these regulations, published at 1114 OG 29, 29, 290 and at 55 FR 18230, May 1, 1990.
X	2. This application does not contain, as a separate part of the disclosure on
paper	copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
$\boxtimes$	3. A copy of the "Sequence Listing" in computer readable form has not been
submi	tted as required by 37 CFR 1.821(e).
П	4. A copy of the "Sequence Listing" in computer readable form has been submitted.
of 37	er, the content of the computer readable form does not comply with the requirements OFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw noe Listing."
	5. The computer readable form that has been filed with this application has been
found Repor 1.829	l to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem t. A substitute computer readable form must be submitted as required by 37 CFR
reada	6. The paper copy of the "Sequence Listing" is not the same as the computer able form of the "Sequence Listing" as required by 37 CFR 1.821(e).
	7.
Other	
Ybb]	fcant must provide:
X	An initial or substitute computer readable form (CRF) copy of the "Sequence
List	ing"
KY	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification
	A statement that the content of the paper and computer readable copies are the same
<i>,</i> `	and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)
For	questions regarding compliance with these requirements, please contact:
For	Rules Interpretation, call (703) 308-1123 CRF submission help, call (703) 308-4212 PatentIn software help, call (703) 557-0400

Please return a copy of this notice with your response.